IN THE UNITED TATES PATENT AND TRADEMARK OFFICE

FILING COMPLETION UNDER RULE 53(f) 10/009828

(<u>NOT</u> PCT Applications)
For Design, Provisional, or Utility Applications

PATENT APPLICATION

COMPLETION Under Rule 53(f)

ICATION of Attn: Application Division

Inventor(s): Andrew M. STRONACH

Appln. No.: 10 Series Code û

009,828 Serial No. û Atty.Dkt. P

282616 M#

Client Ref

Filed: Title:

December 17, 2001 WAGERING SYSTEM

Hon. Commisioner of Patents Washington, DC 20231

Date:

June 7, 2002

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

- 1. Notice to File Missing Parts ⊠ copy attached ☐ not yet received
- 2.

 Signed Declaration attached.

 ☐ Facsimile/Copy

(Always "X" box 2 if filling signed Declaration and

"X" box 2A only if top box of the Declaration is X'd and file application copy, or

- "X" box 2B only if none of the top three boxes of the Declaration is X'd.)
- 2A. Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
- 2B. The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
- - b. # pages of Specification(only spec. & claims)
 - c. Drawing(s)

No of Sheets

Fig(s).

- 4. Letter filing formal drawing attached.
- 5. Attached is an assignment and cover sheet. <u>Please return the recorded assignment</u> to the undersigned.
- 6. <u>DOMESTIC/INTERNATIONAL</u> priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

| | Application No. | Filing Date | | Application No. | Filing Date |
|-----|-----------------|--------------|-----|-----------------|--------------|
| (1) | PCT/CA00/00729 | 19 June 2000 | (2) | 60/140,115 | 18 June 1999 |
| (3) | | | (4) | | |
| (5) | | | (6) | | |

7. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

8.

| | Application No. | Filing Date | Application No. | Filing Date |
|-----|-----------------|-------------|-----------------|-------------|
| (1) | | | (2) | |
| (3) | | | (4) | |
| (5) | | | (6) | |

| | | | , | FEE VALUE ACCOUNTABILITY DEPOSIT ACCOUNT | |
|--|-------------------------------------|-----------------------|-----------------------------------|--|--------------|
| 9. (No.) Certified copy in U.S. Application I | | ed; | iously filed (date) | 73 397 | 3 |
| III 0.3. Application i | 10 | | | ese I Valu | = 1, |
| 10. Small Entity Status ∅ | ☐ is <u>Not</u> claimed | | (file PAT-256 if the tity Status) | | aim of |
| 11. Attached: | | Oman Liv | ary cratacy | 77 | |
| 12. Preliminary Amendment: | | | | | |
| THE FOLLOWING FILIN | IG FEE IS BASED ON CLA | AIMS AS FILED | LESS ANY ABO | E ANCELLE | |
| | | | Large/Small | | Fee |
| | | | Entity | | Code |
| 13. Basic Filing Fee | De | sign Application | \$330/\$165 | | 106/26 |
| iii 13. Basic Filling Fee | | sign Application | \$740/\$370 | +370 | 101/201 |
| 14. Total Effective Claims 1 | 3 minus 20 = | 0 | x \$18/\$9 | +0 | .103/203 |
| 15. Independent Claims 2 | | 0 | x \$84/\$42 | +0 | 102/202 |
| 16. If <u>any proper</u> multiple depend (Leave this line <u>blank</u> if this is a r | lent claim (ignore improper |) is present, | \$280/\$140 | +0 | 104/204 |
| 17. Surcharge for filing Declaration | | | \$130/\$65 | +65 | 105/205 |
| 18. | | | FILING FEE = | \$435 | |
| | rch 31, 2002 | | | *** | |
| 20. Petition is hereby made to e | extend the <u>original</u> due date | e to (1 mo) | \$110/\$55 = | +460 | 115/215 |
| cover the date this response is fil | led for which the requisite f | | \$400/\$200 = | | 116/216 |
| is attached | | (3mos) | \$920/\$460 = | | 117/217 |
| | | (4mos) | \$1,440/\$720 = | | 118/218 |
| 21. If "non-English" box 3 is X'd, | | | \$130 | +0 | 139 |
| 22. If "assignment" box 5 is X'd, a | add recording fee | | \$40 | +0 | 581 |
| 23. Petition Fee for | | | \$130 | +0 | <u> </u> |
| 24. | | | TOTAL FEE = | \$895 | |
| Our Deposit Account N | lo. 03-3975 | | | PLEASE CH OUR DEP. | |
| • | 574 | 282616 | | | |
| Our Order No. 21 | C# | Z0Z010 M# | | | |
| CHARGE STATEMENT: The Commission | | | authorized hereafter | or any missing or i | nsufficient |
| fee(s) filed, or asserted to be filed, or wh | ich should have been filed herewi | ith or concerning any | paper filed hereafter, | and which may be | required • |
| under Rules 16-18 (missing or insufficien credit any overpayment, to our Account/C | | | | | |
| This CHARGE STATEMENT does not a | | | | | ileu. |
| | | | | | |
| stment date: 11/04/2002 MKAYPAGH 2/2002 SNAJARRO 00000075 033975 100 | 009828 | | 06/12/2002 SNAJ | ARRG 00000075 02 | 35.7 |
| C:959 370.00 CR | Pillsbury Winthrop Li | ь | 01 FC:959 | C 370 00 EM | |
| | Intellectual Property | | 02 FC:254 03 FC:217 | 65.00 CH 480.00 CH | |
| 1600 Tysons Boulevard | By Atty: Jean-Raul | Hoffman | Reg. | No. 42663 | |
| McLean, VA 22102 | | 14/1 | | | |
| Tel: (703) 905-2000 | Sig: | 1117 | Fax: Tel: | (703) 905 (703) 905 | |
| Atty/Sec: JPH:ksh | \ \ | \\\ <u>\</u> | | _ | |
| | e in <u>duplicate</u> with PTO re | X 1 | | nts | |
| 7 | - 0 | <i>a</i> | n. l | 1/ | |
| 30292531_1.DOC | | HTM | Y HE | Wineton Alyanad | PAT-106 5/02 |
| 30292531_1.DOC PART & IS ATMY DE WINSON ALVARAGO PAT-106 5/02 National State Processing | | | | | |
| Parziegal Specialist | | | | | |
| | | | | (793) 305-6421 | |

06/18/1999



Commissioner for Patients, Box PCT United States Patient and Tradomark Office Washington, D.C. 20231

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/009,828 Andrew M Stronach P 282616

INTERNATIONAL APPLICATION NO.

00909 PILLSBURY WINTHROP LLP 1600 TYSONS BOULEVARD MCLEAN, VA 22102 PCT/CA00/00729

LA. FILING DATE PRIORITY DATE

06/19/2000

CONFIRMATION NO. 6143
371 FORMALITIES LETTER
OC000000007396483

Date Mailed: 01/31/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of IPE Report
- · Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Request for Immediate Examination
- Small Entity Statement

RECEIVED

PILLSBURY WINTHROP LLP/VA

FEB 0 5 2002

| CI 27574 | MT# 282616 |
|--------------|--------------|
| ATTY(S) JSB | JAH |
| DUE: 03 - 3/ | -02 |
| DKT BY (1) | 5 (2) المالا |

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions

of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

- Total additional fees required for this application is \$65 for a Small Entity:
 - \$65 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

WINSTON M ALVARADO

Telephone: (703) 305-6421

PART 1 - ATTORNEY/APPLICANT COPY

| *************************************** | | |
|---|-------------------------------|------------------|
| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
| 10/009,828 | PCT/CA00/00729 | P 282616 |

Page 2 of 2